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**PATENT APPLICATION**

11033 U.S. PRO  
09/810220  
03/19/01



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Toshihiro ARUGA

Appln. No. Group Art Unit: Unknown

Confirmation No.:Unknown Examiner: Unknown

Filed: March 19, 2001

For: PORTABLE TERMINAL APPARATUS WITH SECURITY FUNCTION

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Laid-Open Patent Publication No. 09-223112, published August 26, 1997.
2. Japanese Laid-Open Patent Publication No. 11-30953, published February 2, 1999.
3. Japanese Laid-Open Patent Publication No. 11-96252, published April 9, 1999.
4. Japanese Laid-Open Patent Publication No. 11-15927, published January 22, 1999.
5. Japanese Laid-Open Patent Publication No. 11-110593, published April 23, 1999.
6. Japanese Patent No. 2899464, issued March 12, 1999.

One copy of each of the listed documents is submitted herewith.

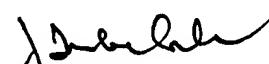
Toshihiro ARUGA  
Q63638  
Information Disclosure Statement

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that the references are discussed within the specification beginning at page 3, lines 4, 17, and 24, page 5, lines 5 and 26, and page 6, line 18, respectively.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

  
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